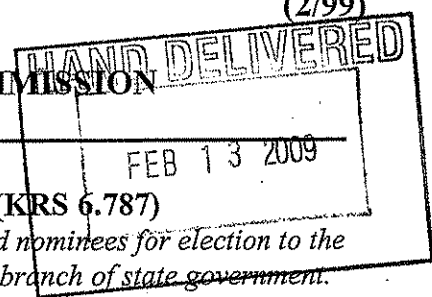


OK-7

(2/99)

KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Gerald A. Neal
Business address Miedinger Tower, 4625 4th Street, Louisville, KY 40202
Business telephone 502-584-8500
Home address 4711 Brewster Avenue, Louisville, KY 40202
Title of public position, or office sought Senator, 33rd District
Other occupations of filer Attorney at law, U of L Professor
Occupations of spouse Human Resources Director

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Partner - Library Development
1718 W. Jefferson Street
Louisville, KY 40203

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Human Resource Director
Louisville + Jefferson Co. Metro Sewer District
700 West Liberty
Louisville, KY 40203

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more
Deferred compensation plan, ICMA, 4005 South 6th Street,
Louisville, KY 40202; CERS Retirement Plan, Frankfort, KY 40601

Sources and form of gross income of the filer (list sources by name)

Gerald A. Neal, Associates, Attorneys At Law, LLC

Salary - State Senator, KY General Assembly

Salary - University of Louisville

Sources and form of gross income of the filer's spouse (list sources by name)

Salary - Louisville + Jefferson Co. Metro Sewer District

Louisville, KY 40202

Savings Account - Metro Sewer District, Louisville, KY 40202
(Spouse and children)

Positions of a fiduciary nature in a business None.

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

Commercial Property

1718 West Jefferson Street

Louisville, KY 40203

10% in Gerald A. Neal, Assoc. Attorneys at Law, LLC.

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) None.

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

National City Bank

Republic Bank

Metco

Small Business Administration

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None.

The names of any of the filer's clients who are legislative agents or employers

Louisville + Jefferson Co. Metro Sewer District
City of Louisville

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

None

State Agency

None

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/13/09
Date


Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.